

1 Name of Listed Entity : NTPC Limited
2 Scrip Code/Name of Scrip/Class of Security : NTPC
3 Share Holding Pattern Filed under : 31b
4 Share Holding Pattern as on : 31-Mar-2019
5 Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information: -

| S. No. | Particulars | Yes/No | |
|--------|--|--------|--|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No | |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | |
| 4 | Whether the Listed Entity has any shares in locked-in? | No | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | |
| 6 | Whether the Listed Entity has issued any differential Voting Rights? | No | |
| 7 | Whether the Listed Entity has issued any Warrants ? | No | |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category & Name of the Shareholder | PAN | No. of Shares Held | No. of Locked Shares | No. of Shares Under Repurchase | No. of Shares Under Escrow | Total No. of Shares Held | Shareholder's PAN | | | Number of Voting Rights Held in each class of securities | | | No. of Shares Held | Shareholding % as a % of Total Shares | Number of Locked Shares | Number of Shares Held | Number of Shares Held | |
|---|------------|--------------------|----------------------|--------------------------------|----------------------------|--------------------------|-------------------|------------|-------|--|---------|-------|--------------------|---------------------------------------|-------------------------|-----------------------|-----------------------|------------|
| | | | | | | | Individual | Corporate | Trust | Class X | Class Y | Total | | | | | | Class X |
| 1 Indian | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a Individuals (Hindu undivided Family) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b Central Government/ State Government(s) | | 1 | 5550120301 | 0 | 0 | 5550120301 | 56.09 | 5550120301 | 0 | 5550120301 | 56.09 | 0 | 56.09 | 0 | 0 | 0 | 0 | 5550120301 |
| President of India | ZZZZZZZZZZ | 1 | 5550120301 | 0 | 0 | 5550120301 | 56.09 | 5550120301 | 0 | 5550120301 | 56.09 | 0 | 56.09 | 0 | 0 | 0 | 0 | 5550120301 |
| c Financial Institutions/ Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-Total (A1) | | 1 | 5550120301 | 0 | 0 | 5550120301 | 56.09 | 5550120301 | 0 | 5550120301 | 56.09 | 0 | 56.09 | 0 | 0 | 0 | 0 | 5550120301 |
| 2 Foreign | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| a Individuals (Non-Resident Individuals/ Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| b Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| c Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| d Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| e Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Sub-Total (A2) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Shareholding of Promoter and Promoter Group (A1)+(A2) | | 1 | 5550120301 | 0 | 0 | 5550120301 | 56.09 | 5550120301 | 0 | 5550120301 | 56.09 | 0 | 56.09 | 0 | 0 | 0 | 0 | 5550120301 |

Table B - Statement showing shareholding pattern of the Public shareholder

| Company & Name of the Shareholder | PAN | No. of shares held | No. of fully paid up equity shares (100%) | Partly paid up equity shares (100%) | No. of shares held (Promoters/Shareholders) | Shareholding % of promoter/Shareholder (SCS) 100% | Number of Voting Rights held by each class of securities | | | Total Shareholding % of all classes of securities (SCS) 100% | Number of Locked-in shares (LIS) | Number of Shares pledged or otherwise encumbered (S) | Number of equity shares held (as a percentage of total shares held) | Sub-categorization of shares | | | | | |
|--|----------|--------------------|---|-------------------------------------|---|---|--|---------|------------|--|----------------------------------|--|---|------------------------------|-------|-------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | | | | As a % of (A+B+C) 100 | | | | | | | | Total | As a % of Total Voting Rights | No. of Shares (SCS) 100% | No. of Shares (SCS) 100% | No. of Shares (SCS) 100% |
| | | | | | | | Class 1 | Class 2 | Class 3 | | | | | | | | | | |
| 1. Mutual Funds | | 12 | 152047266 | 0 | 152047266 | 15.37 | 152047266 | 0 | 152047266 | 15.37 | 0 | 0 | 152047266 | | | | | | |
| ICICI PRUDENTIAL VALUE FUND SERIES | AAAB000F | 1 | 545342820 | 0 | 545342820 | 5.61 | 545342820 | 0 | 545342820 | 5.61 | 0 | 0 | 545342820 | | | | | | |
| HDFC MUTUAL FUND | AAATH09A | 1 | 344588316 | 0 | 344588316 | 3.48 | 344588316 | 0 | 344588316 | 3.48 | 0 | 0 | 344588316 | | | | | | |
| RELIANCE CAPITAL TRUSTEE COMPANY LIMITED A/C RELIANCE VISION FUND | AAAT000H | 1 | 288549830 | 0 | 288549830 | 2.92 | 288549830 | 0 | 288549830 | 2.92 | 0 | 0 | 288549830 | | | | | | |
| 2. Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 3. Alternate Investment Funds | | 7 | 1498229 | 0 | 1498229 | 0.02 | 1498229 | 0 | 1498229 | 0.02 | 0 | 0 | 1498229 | | | | | | |
| 4. Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 5. Foreign Portfolio Investors | | 53 | 113551735 | 0 | 113551735 | 11.48 | 113551735 | 0 | 113551735 | 11.48 | 0 | 0 | 113551735 | | | | | | |
| 6. The Income Fund of Anand | AAAT000H | 1 | 132708417 | 0 | 132708417 | 1.34 | 132708417 | 0 | 132708417 | 1.34 | 0 | 0 | 132708417 | | | | | | |
| 7. ROWE PRICE INTERNATIONAL STOCK FUND | AAAC707H | 1 | 103429994 | 0 | 103429994 | 1.05 | 103429994 | 0 | 103429994 | 1.05 | 0 | 0 | 103429994 | | | | | | |
| 8. Financial Institutions Funds | | 34 | 1254883764 | 0 | 1254883764 | 12.68 | 1254883764 | 0 | 1254883764 | 12.68 | 0 | 0 | 1254883764 | | | | | | |
| 9. LIC OF INDIA LIFE INSURANCE CORPORATION OF INDIA P & GS FUND | AAAC058H | 1 | 1138416080 | 0 | 1138416080 | 11.51 | 1138416080 | 0 | 1138416080 | 11.51 | 0 | 0 | 1138416080 | | | | | | |
| 10. Insurance Companies | | 23 | 66120794 | 0 | 66120794 | 0.67 | 66120794 | 0 | 66120794 | 0.67 | 0 | 0 | 66120794 | | | | | | |
| 11. Pension Funds | | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 12. Any Other (specify) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| Sub-Total (B)(1) | | 63 | 397857153 | 0 | 397857153 | 40.27 | 397857153 | 0 | 397857153 | 40.27 | 0 | 0 | 397857153 | | | | | | |
| 13. Central Government | | 5 | 31846828 | 0 | 31846828 | 0.32 | 31846828 | 0 | 31846828 | 0.32 | 0 | 0 | 31846828 | | | | | | |
| 14. State Government (of President of India) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 15. Sub-Total (B)(2) | | 5 | 31846828 | 0 | 31846828 | 0.32 | 31846828 | 0 | 31846828 | 0.32 | 0 | 0 | 31846828 | | | | | | |
| 16. Non-institutional | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 17. Individuals - | | 53520 | 15315432 | 0 | 15315432 | 1.56 | 15315432 | 0 | 15315432 | 1.56 | 0 | 0 | 15315432 | | | | | | |
| 18. Individual shareholders holding nominal share capital up to Rs. 2 lakhs | | 53520 | 13447351 | 0 | 13447351 | 1.36 | 13447351 | 0 | 13447351 | 1.36 | 0 | 0 | 13447351 | | | | | | |
| 19. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs | | 236 | 19341921 | 0 | 19341921 | 0.2 | 19341921 | 0 | 19341921 | 0.2 | 0 | 0 | 19341921 | | | | | | |
| 20. NRI's registered with RBI | | 19 | 729728 | 0 | 729728 | 0.01 | 729728 | 0 | 729728 | 0.01 | 0 | 0 | 729728 | | | | | | |
| 21. Employees Trusts | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 22. Depositors holding DRs (balancing figure) | | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | | | | | |
| 23. New (Other equity) | | 2521 | 17851380 | 0 | 17851380 | 1.81 | 17851380 | 0 | 17851380 | 1.81 | 0 | 0 | 17851380 | | | | | | |
| 24. Existing equity | | 2479 | 2668802 | 0 | 2668802 | 0.27 | 2668802 | 0 | 2668802 | 0.27 | 0 | 0 | 2668802 | | | | | | |
| 25. Bodies Corporate | | 191 | 10374259 | 0 | 10374259 | 1.05 | 10374259 | 0 | 10374259 | 1.05 | 0 | 0 | 10374259 | | | | | | |
| 26. Partnerships | | 1 | 3090 | 0 | 3090 | 0 | 3090 | 0 | 3090 | 0 | 0 | 0 | 3090 | | | | | | |
| 27. Employees Trusts | | 5624 | 327783 | 0 | 327783 | 0.003 | 327783 | 0 | 327783 | 0.003 | 0 | 0 | 327783 | | | | | | |
| 28. Non-Resident Indian (NRI) | | 7697 | 512914 | 0 | 512914 | 0.005 | 512914 | 0 | 512914 | 0.005 | 0 | 0 | 512914 | | | | | | |
| 29. DRs | | 3000 | 376100 | 0 | 376100 | 0.004 | 376100 | 0 | 376100 | 0.004 | 0 | 0 | 376100 | | | | | | |
| 30. Trusts | | 15 | 30079729 | 0 | 30079729 | 0.3 | 30079729 | 0 | 30079729 | 0.3 | 0 | 0 | 30079729 | | | | | | |
| Sub-Total (B)(3) | | 56105 | 31406760 | 0 | 31406760 | 3.18 | 31406760 | 0 | 31406760 | 3.18 | 0 | 0 | 31406760 | | | | | | |
| Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3) | | 56168 | 434436979 | 0 | 434436979 | 43.92 | 434436979 | 0 | 434436979 | 43.92 | 0 | 0 | 434436979 | | | | | | |

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

| Name | PAN | Passport No. in case of a foreign national | Nationality | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* | | | | | Date of creation / acquisition of significant beneficial interest |
|------|-----|--|-------------|--|---------------|--|---------------------|-----------------------------------|---|
| | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |

Table VI - Statement showing foreign ownership limits

| Particular | Approved Limits (%) | Utilized Limit (%) |
|------------|---------------------|--------------------|
|------------|---------------------|--------------------|

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders | No of share held |
|---------------------|------------------|
| 2 | 3278 |

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

| No. of shareholders | No of share held |
|---------------------|------------------|
|---------------------|------------------|

Table III- Person in Concert

| Details of the shareholders acting as persons in Concert including their Shareholding | | | |
|---|-------------|--------------|-----------|
| Name of shareholder | Name of PAC | No. of share | Holding % |