



**NTPC Limited**

(A Govt. of India Enterprises)  
Corporate Centre

**Ref. No.01:SEC:LA:4**  
**Dated : 26.4.2021**

<b>General Manager</b> Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	<b>Manager</b> Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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**Sub.: Compliance Certificate under Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 31.3.2021**

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we attach herewith a Certificate, duly signed by Alankit Assignments Limited, Share Transfer Agent and the Compliance Officer of NTPC, for the half-year ended 31.3.2021 in compliance of Regulation 7(2) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

*Nandini Sarkar*

**(Nandini Sarkar)**  
**Company Secretary &**  
**Compliance Officer**

Encl: as above



Health & Wealth  
We Manage Both

**alankit** ASSIGNMENTS LIMITED

## COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, Alankit Assignments Limited (AAL) is providing Registry and Share Transfer services to NTPC LIMITED and having a valid agreement in the form of Memorandum of Understanding (MOU).

Further certified that that AAL;

- a) Holds and continues to hold requisite certificate as category I Registrar and share transfer Agent vide SEBI Registration No. INR000002532 issued by Securities Exchange Board of India.
- b) Handling all activities in relation to physical share transfer facility and maintaining related documents /correspondence for all the transactions in physical in respect of the company as per the regulations.
- c) Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7 (3) of the provisions of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015.

For **ALANKIT ASSIGNMENTS LTD.**

**ABHINAV KUMAR AGRAWAL**  
**DEPUTY GENERAL MANAGER**

Place: New Delhi

Date: 08/04/2021

SEBI Registration Nos.

INB230681235, IN-230881235, INE230881235, INBU10881234, INF010881234, INE010881234, INE260881235, INB050881235, INP00001363, IN-DF-NSDL-14-06, IN-DF-CDSL-43-09

CIN : U74210DL1991PLC042569

Registered Office : 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi - 110055

Corporate Office : Alankit House, 4E/2, Jhandewalan Extension, New Delhi - 110055

Phone : +91-11-4254 1234 / 2354 1234 | Fax : +91-11-2355 2001 | Website : www.alankit.com | e-mail : info@alankit.com



*NTPC Limited*

(A Govt. of India Enterprises)  
Corporate Centre

**Ref. No.01:SEC:LA:4**  
**Dated : 29.10.2020**

<b>General Manager</b> Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	<b>Manager</b> Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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**Sub.: Compliance Certificate under Regulation 7(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 30.9.2020**

Dear Sir/Madam,

Pursuant to Regulation 7(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we attach herewith a Certificate, duly signed by Alankit Assignments Limited, Share Transfer Agent and the Compliance Officer of NTPC, for the half-year ended 30.9.2020 in compliance of Regulation 7(2) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

**(Nandini Sarkar)**  
**Company Secretary &**  
**Compliance Officer**

Encl: as above

